



6-14-01

Attorney Docket No. JPM-001

2172

TJ2 SC
6-21-01

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

JUN 13 2001

Applicant(s): Spielmann et al.

Serial No.: 09/545,381

Filed: April 7, 2000

Title: METHOD AND SYSTEM FOR
MANAGING RISKS

Group Art Unit: N/A

Examiner: N/A

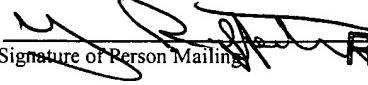
Assistant Commissioner for Patents
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Date of Deposit: June 13, 2001

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INFORMATION DISCLOSURE STATEMENT

Dear Sir:

In accordance with the duty of disclosure provisions of 37 C.F.R. § 1.56, there is hereby provided certain information which the Examiner may consider material to the examination of the subject U.S. patent application. It is requested that the Examiner make this information of record if it is deemed material to the examination of the application. No admission is made that the information cited in this Statement is, or is considered to be, material to patentability nor is this Information Disclosure Statement a representation that a search has been made.

Enclosures accompanying this Information Disclosure Statement are:

- (1) Form PTO-1449;
- (2) Copies of publications.

This Information Disclosure Statement is filed under 37 C.F.R. § 1.97(b) after three months of filing the U.S. Patent application, but prior to the first Office Action received. We believe that no fee will be incurred however, the Commissioner is authorized to charge any fee required or credit any overpayment for the Information Disclosure Statement to Skadden, Arps, Slate, Meagher & Flom LLP **Deposit Account No. 19-2385**.

Date: June 13, 2001

Respectfully submitted,



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